

Forms, reporting deadlines, exemptions, legal basis

A. General reporting requirements for credit institutions, enterprises, individuals and public authorities					
Item	Flows / stocks to be reported	Reporting exemptions	Reporting form	Reporting date	Legal basis
I. Transactions in goods					
1. Exports of goods	Payments for exports of goods are not to be reported	All payments	-	-	Section 59 (2) 2 AWW*
2. Imports of goods	Payments for imports of goods are not to be reported	All payments	-	-	Section 59 (2) 2 AWW*
3. Merchanting	Payments made and received)	Z 4	Monthly	Section 66 AWW*
4. Other transactions in goods	Payments made and received))Z 1))
II. Services, transfers					
	Payments made and received (provided these are not to be reported in accordance with section 69 AWW*))Payments up to €12,500)or the)equivalent)for outgoing pay-)ments made through)resident financial)institutions (excep-)tions: merchanting)and SEPA credit)transfers on Z 4))	Section 59 ff. AWW*
III. Financial transactions, investment income					
	a) Payments made and received (exception: payments for short-term deposits and loans))))Monthly)
	b) Direct investment stocks	Participating interests of less than 10% or a balance sheet total in the direct investment enterprise of up to € million or the equivalent	K 3	Annually	Section 56a + b AWW*
	• Of residents abroad		K 4	Annually	Section 58a + b AWW*
	• Of non-residents in Germany				
	c) Position of claims and liabilities from non-banks vis-à-vis non-residents arising from)Residents whose external)assets and liabilities do not)exceed € million;	Z 5	Monthly	Section 62 (1, 2 and 5) AWW*
	• financial transactions with non-resident banks)Individuals	Z 5a sheet 1	Monthly	Section 62 (1, 3 and 5) AWW*
	• financial transactions with non-resident non-banks)	Z 5a sheet 2	Monthly)
	• transactions in goods and services))
	• derivative financial instruments)Residents whose external)assets and liabilities are)less than €500 million;)Individuals	Z 5 b	Annually	Section 62 (1, 4 and 5) AWW*
B. Special reporting requirements for credit institutions (financial institutions pursuant to AWG** / AWW*, credit institutions pursuant to section 18 of the Bundesbank Act and monetary financial institutions pursuant to the ECB Regulation)					
Item	Flows / stocks to be reported	Reporting exemptions	Reporting form	Reporting date	Legal basis
I. Travel	Purchases and sales of means of payment in foreign travel	None	Z 12 / Z 13	Monthly	Section 69 (2) 4 AWW*
II. Investment income					
	a) Interest and dividends arising from domestic securities	None	Z 11	Monthly	Section 69 (2) 2 AWW*
	b) Interest for own account (except interest on securities)))))
	• on current and savings accounts)Payments up to €12,500 or)the equivalent	Z 14 / Z 15	Half-yearly) Section 69 (2) 3) AWW*
	• other))	Monthly)

B. Special reporting requirements for credit institutions (financial institutions pursuant to AWG / AWW*, credit institutions pursuant to section 18 of the Bundesbank Act and monetary financial institutions pursuant to the ECB Regulation)**

Item	Flows / stocks to be reported	Reporting exemptions	Reporting form	Reporting date	Legal basis
III. Financial transactions	a) Securities and financial derivatives transactions with non-residents on own account and on account of customers and redemption of securities)))	Z 10	Monthly	Section 69 (2) 1 AWW*
	b) Stocks of assets and liabilities vis-à-vis non-residents	None	R 1100 R 1200 R 2100 R 2200	Monthly	Section 18 of the Bundesbank Act in conjunction with the Order of the Deutsche Bundesbank of 4 August 2004 (MBBK 8003/2004)

C. Special reporting requirements for maritime shipping companies

Item	Flows / stocks to be reported	Reporting exemptions	Reporting form	Reporting date	Legal basis
Transport services	Receipts and expenditure of maritime shipping companies	None	Z 8	Monthly	Section 67 AWW*

* Außenwirtschaftsverordnung (Foreign Trade and Payments Regulation) ** Außenwirtschaftsgesetz (Foreign Trade and Payments Act)

Form Z 1 can be obtained from financial institutions. The other forms are obtainable free of charge from the Deutsche Bundesbank, service centre "Außenwirtschaftsstatistik", 55148 Mainz, as a download as PDF or Excel files from the internet under http://www.bundesbank.de/meldewesen/mw_aussenwirtschaft.php. Reports can be submitted electronically (via the internet to the Bundesbank's ExtraNet). Further information is available on the internet: <http://www.bundesbank.de/extranet/extranet.en.php>.

Contact

Further information may be obtained from the service centre "Außenwirtschaftsstatistik", 55148 Mainz, as well as from the Deutsche Bundesbank, Balance of Payments Statistics Division, International Investment Position, post-office box 30 09, 55020 Mainz.

Information: +49 800 1234 111 (freephone when calling from within Germany)

Internet: www.bundesbank.de

Newsletter (in German): registration on the website under "Meldewesen → Newsletter" (category: Außenwirtschaft)