

Company name/name of party required to report:

Company number

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(Please quote if known)

Please put a cross in the appropriate box

.....
Name/company name/address (stamp)

Sender (if not the party required to report):

Reply to

**Deutsche Bundesbank
Servicezentrum Außenwirtschaftsstatistik
55148 Mainz**

.....
Name/company name

Nil report K3

Residents' investments in foreign economic territories Reporting requirements pursuant to sections 56a and 56b of the Foreign Trade and Payments Regulation (*Aussenwirtschaftsverordnung*)

Please read the explanatory notes (particularly the diagram showing the enterprises required to report) before answering the questions.

1. I/we had participating interests in non-resident enterprises or I/we ran branches or permanent establishments in foreign economic territories on the reporting date/balance sheet date

Day	Month	Year

Yes (Proceed to No 2)

No (*No report needed!*; proceed to No 5)

2. The shares and voting rights amounted to

$\geq 10\%$ (Proceed to No 3)

$< 10\%$ (*No report needed!*)

3. The balance sheet total of individual non-resident enterprises was

$> \text{€}3$ million

$\leq \text{€}3$ million (*No report needed!*; proceed to No 4)

(Please submit a report using the form appended as Annex K3 to the Foreign Trade and Payments Regulation. The "nil report" rule does not apply.)

4. If the reporting threshold in No 3 has not been reached, is/are the balance sheet total/gross business assets likely to exceed the reporting threshold in the following three years?

Yes

No

5. If you submitted a report on residents investments in foreign economic territories last year:

The participating interest(s) in non-resident enterprises which I/we reported last year was/were sold to:

Non-residents: payment report on the sales proceeds has been submitted

Non-residents:

payment report has not yet been submitted

the purchase price was below the reporting threshold of €12,500

Residents: (payment report not required)

.....
(Please give the name(s) of the resident purchaser(s))

Comments:

.....
Place/date

.....
E-mail address

.....
Telephone (including, if applicable, extension and contact person)

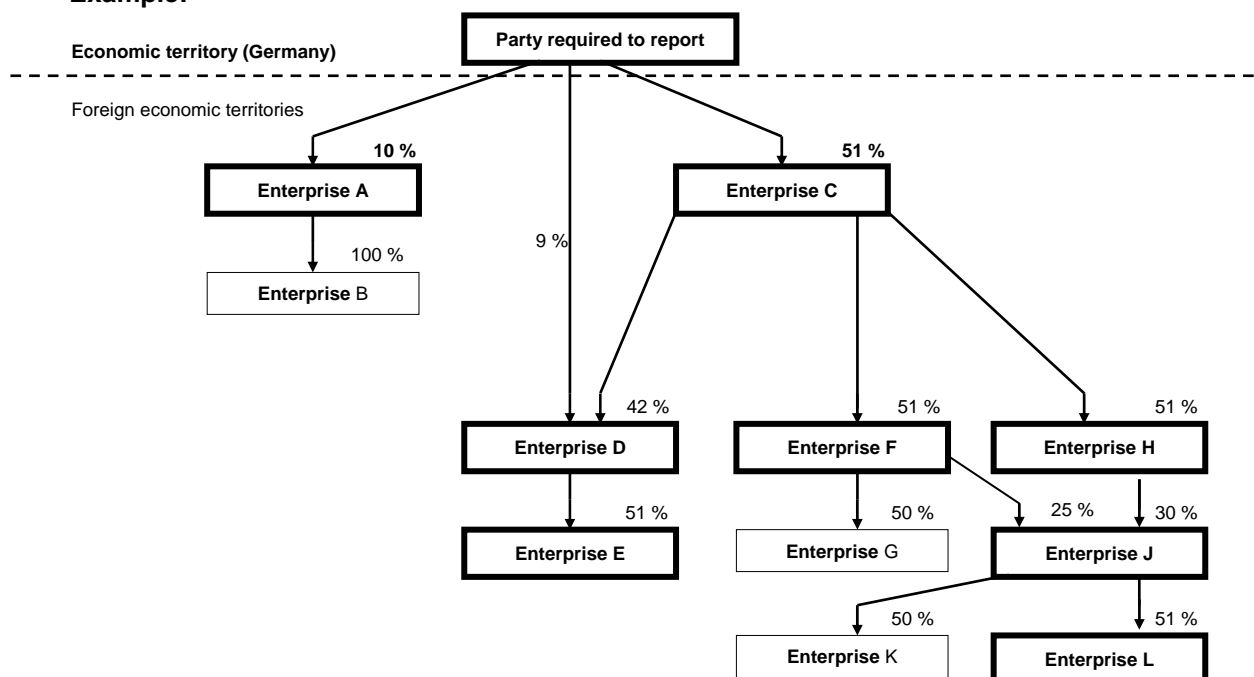
.....
Signature

There is a **requirement to report** if the balance sheet total of the non-resident enterprise concerned exceeds €3 million and

- the resident directly holds at least 10% of the shares or voting rights in the non-resident enterprise [enterprises A and C]
- the resident directly or indirectly holds more than 50% of the shares or voting rights in other non-resident enterprises; these enterprises are also to be seen as dependent enterprises [enterprises D, F and H] (see extract from section 56a of the Foreign Trade and Payments Regulation)
- dependent enterprises have participating interests in other non-resident enterprises that amount to more than 50% of the shares or voting rights in these enterprises [enterprises E, J and L].

It is not necessary to report any indirect shares in non-resident enterprises which are held solely as minority interests.

Example:



Section 56a of the Foreign Trade and Payments Regulation (extract/definition of a dependent enterprise)

(2) Within the meaning of the provision, a non-resident enterprise is deemed to be dependent on a resident if that resident holds more than 50% of the shares or voting rights in the non-resident enterprise. If more than 50% of the shares or voting rights in another non-resident enterprise are held by one or several non-resident enterprises dependent on a resident, or by this or these non-resident enterprise(s) together with the resident, the other non-resident enterprise and any other enterprise fulfilling the same criteria are to be regarded as dependent on the resident within the meaning of the provision.