Section I: Contracting authority

I.1) Name, addresses and contact point(s):

Official name: Deutsche Bundesbank, Beschaffungszentrum
Postal address: Taunusanlage 5
Town: Frankfurt am Main
Postal code: 60329
Country: Germany (DE)
Contact point(s):
Telephone: +49 699566-5270
For the attention of:
E-mail: doris.schoen@bundesbank.de
Fax: +49 699566-5294

Internet address(es): (if applicable)
General address of the contracting authority: (URL) www.bundesbank.de
Address of the buyer profile: (URL)
Electronic access to documents: (URL)
Electronic submission of candidatures and requests to participate: (URL)

I.2) Type of the contracting authority

○ Ministry or any other national or federal authority, including their regional or local sub-divisions
○ National or federal agency/office
○ Regional or local authority
○ Regional or local agency/office
○ Body governed by public law
○ European institution/agency or international organisation
○ Other: (please specify)

I.3) Main activity

□ General public services
□ Defence
□ Public order and safety
□ Environment
○ Economic and financial affairs
□ Health
□ Housing and community amenities
□ Social protection
□ Recreation, culture and religion
☐ Education
☐ Other: (please specify)

I.4) Contract award on behalf of other contracting authorities

The contracting authority is purchasing on behalf of other contracting authorities:

☒ yes ☐ no

Information on those contracting authorities can be provided in Annex A.
Section II: Object of the contract

II.1) Description

II.1.1) Title attributed to the contract:
Fitch Solutions Research Services

II.1.2) Type of contract and location of works, place of delivery or of performance:
(choose one category only – works, supplies or services – which corresponds most to the specific object of your contract or purchase(s))

- **Works**
- **Supplies**
- **Services**

Service category No: 10

Please see Annex C1 for service categories

In case of contracts for service categories 17 to 27 – see Annex C1 – do you agree to the publication of this notice?

Main site or location of works, place of delivery or of performance:

NUTS code:

II.1.3) Information about a framework agreement or a dynamic purchasing system (DPS)

- The notice involves the establishment of a framework agreement
- The notice involves contract(s) based on a dynamic purchasing system (DPS)

II.1.4) Short description of the contract or purchase(s):

Related to their tasks, central banks require Research Services for various purposes to be provided by registered External Credit Assessment Institutions (ECAIs). Within this framework a joint procurement of rating agency services was mandated by the Governing Council of the European Central Bank (ECB). Deutsche Bundesbank acts as Lead Central Bank and contracting authority for the procurement of credit rating agencies services on behalf of the members of the Eurosystem Procurement Coordination Office (EPCO).

II.1.5) Common procurement vocabulary (CPV)

<table>
<thead>
<tr>
<th>Main vocabulary</th>
<th>Supplementary vocabulary (if applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Main object</td>
<td>79310000</td>
</tr>
</tbody>
</table>

II.1.6) Information about Government Procurement Agreement (GPA)
The contract is covered by the Government Procurement Agreement (GPA):  ○ yes  ◼ no

II.2) Total final value of contract(s):

II.2.1) Total final value of contract(s):

<table>
<thead>
<tr>
<th>Excluding VAT</th>
<th>Including VAT</th>
<th>VAT rate (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Value:</td>
<td>O</td>
<td>O</td>
</tr>
<tr>
<td>Currency:</td>
<td></td>
<td></td>
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</tbody>
</table>

or:
<table>
<thead>
<tr>
<th>Lowest offer:</th>
<th>and</th>
<th>O</th>
<th>O</th>
<th>at</th>
</tr>
</thead>
<tbody>
<tr>
<td>highest offer:</td>
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<tr>
<td>Currency:</td>
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<tr>
<td>taken into account</td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>
Section IV: Procedure

IV.1) Type of procedure

IV.1.1) Type of procedure

- Open
- Restricted
- Accelerated restricted
- Competitive dialogue
- Negotiated with a call for competition
- Accelerated negotiated
- Negotiated without a call for competition
- Award of a contract without prior publication of a contract notice in the Official Journal of the European Union (in the cases listed in Section 2 of Annex D1)

Justification for the award of the contract without prior publication of a contract notice in the Official Journal of the European Union (OJEU): please complete Annex D1

IV.2) Award criteria

IV.2.1) Award criteria

- Lowest price
- The most economically advantageous tender in terms of

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Weighting</th>
</tr>
</thead>
</table>

IV.2.2) Information about electronic auction

An electronic auction has been used
- yes
- no

IV.3) Administrative information

IV.3.1) File reference number attributed by the contracting authority:
(if applicable)

EPCO JOINT PROCUREMENT PROCEDURE 10.03

IV.3.2) Previous publication(s) concerning the same contract:

- yes
- no

(if yes, please fill in the appropriate boxes)

- Prior information notice
- Notice on a buyer profile
  Notice number in the OJEU: of: (dd/mm/yyyy)

- Contract notice
- Simplified contract notice on a dynamic purchasing system
  Notice number in the OJEU: of: (dd/mm/yyyy)

- Voluntary ex ante transparency notice
  Notice number in the OJEU: of: (dd/mm/yyyy)

- Other previous publications (if applicable)
  Notice number in the OJEU: of: (dd/mm/yyyy)
Section V: Award of contract

Contract No: IVM 8081 Lot No: Lot title: EPCO Joint Procurement on Rating Agencies 10.03 Fitch Solutions Limited. Consolidation of legacy contracts of the participating EPCO members on Fitch Research Services as well as the extension of licensing rights.

V.1) Date of contract award decision: 01/09/2016 (dd/mm/yyyy)

V.2) Information about offers:
Number of offers received: 1
Number of offers received by electronic means:

V.3) Name and address of economic operator in favour of whom the contract award decision has been taken
Official name: Fitch Solutions Limited
Postal address: 30 North Colonnade
Town: London Postal code: E14 5GN Country: United Kingdom (UK)
Telephone: Fax:
E-mail: Internet address: (URL)

V.4) Information on value of contract (give figures only)

<table>
<thead>
<tr>
<th></th>
<th>Excluding VAT</th>
<th>Including VAT</th>
<th>VAT rate (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Initial estimated total value of the contract (if applicable)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Value:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Currency:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total final value of the contract</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Value:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Currency:</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>or:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lowest offer:</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>and</td>
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<td></td>
<td></td>
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<tr>
<td>highest offer:</td>
<td></td>
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<td></td>
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<tr>
<td>Currency:</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>taken into consideration</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

If annual or monthly value: (please give)
Number of years: or Number of months:

V.5) Information about subcontracting
The contract is likely to be sub-contracted ○ yes ○ no
(if yes, give figures only) Value or proportion of the contract likely to be sub-contracted to third parties:
Value excluding VAT: □ Not known:
Currency: Proportion %

Short description of the value/proportion of the contract to be sub-contracted: (if known)

----------------------- (Use this section as many times as needed) -----------------------
Section VI: Complementary information

VI.1) Information about European Union funds:
The contract is related to a project and/or programme financed by European Union funds ○ yes ☐ no
(if yes) Reference to project(s) and/or programme(s):

VI.2) Additional information: (if applicable)

VI.3) Procedures for appeal

VI.3.1) Body responsible for appeal procedures
Official name   Vergabekammer des Bundes beim Bundeskartellamt
Postal address   Villemomboker Str. 76
Town  Bonn                                      Postal code  53123
Country  Germany (DE)                             Telephone
E-mail                                      Fax
Internet address URL

Body responsible for mediation procedures  (if applicable)
Official name
Postal address

Town
Country  Germany (DE)
E-mail                                      Fax
Internet address   URL

VI.3.2) Lodging of appeals  (please fill in heading VI.3.2 or, if need be, heading VI.3.3)
Precise information on deadline(s) for lodging appeals
VI.3.3) Service from which information about the lodging of appeals may be obtained

Official name
Postal address

Town
Postal code
Country
Telephone
E-mail
Fax
Internet address URL

VI.4) Date of dispatch of this notice:
14/09/2016 (dd/mm/yyyy) - ID:2016-118078
Annex A
Additional addresses and contact points

I.1) Address of the other contracting authority on behalf of which the contracting authority is purchasing:

Official name: All EPCO members can participate in the contract. For further information please visit www.epco.lu

Postal address: 2, Boulevard Royal

Town: Luxembourg          Postal code: L,2983          Country: Luxembourg (LU)

------------------------  (Use this section as many times as needed)  ------------------------
## Annex C1 – General procurement

### Service categories referred to in Section II: Object of the contract

**Directive 2004/18/EC**

<table>
<thead>
<tr>
<th>Category No</th>
<th>Subject</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Maintenance and repair services</td>
</tr>
<tr>
<td>2</td>
<td>Land transport services [2], including armoured car services, and courier services, except transport of mail</td>
</tr>
<tr>
<td>3</td>
<td>Air transport services of passengers and freight, except transport of mail</td>
</tr>
<tr>
<td>4</td>
<td>Transport of mail by land [3] and by air</td>
</tr>
<tr>
<td>5</td>
<td>Telecommunications services</td>
</tr>
<tr>
<td>6</td>
<td>Financial services: a) Insurances services b) Banking and investment services [4]</td>
</tr>
<tr>
<td>7</td>
<td>Computer and related services</td>
</tr>
<tr>
<td>8</td>
<td>Research and development services [5]</td>
</tr>
<tr>
<td>9</td>
<td>Accounting, auditing and bookkeeping services</td>
</tr>
<tr>
<td>10</td>
<td>Market research and public opinion polling services</td>
</tr>
<tr>
<td>11</td>
<td>Management consulting services [6] and related services</td>
</tr>
<tr>
<td>12</td>
<td>Architectural services; engineering services and integrated engineering services; urban planning and landscape engineering services; related scientific and technical consulting services; technical testing and analysis services</td>
</tr>
<tr>
<td>13</td>
<td>Advertising services</td>
</tr>
<tr>
<td>14</td>
<td>Building-cleaning services and property management services</td>
</tr>
<tr>
<td>15</td>
<td>Publishing and printing services on a fee or contract basis</td>
</tr>
<tr>
<td>16</td>
<td>Sewage and refuse disposal services; sanitation and similar services</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Category No</th>
<th>Subject</th>
</tr>
</thead>
<tbody>
<tr>
<td>17</td>
<td>Hotel and restaurant services</td>
</tr>
<tr>
<td>18</td>
<td>Rail transport services</td>
</tr>
<tr>
<td>19</td>
<td>Water transport services</td>
</tr>
<tr>
<td>20</td>
<td>Supporting and auxiliary transport services</td>
</tr>
<tr>
<td>21</td>
<td>Legal services</td>
</tr>
<tr>
<td>22</td>
<td>Personnel placement and supply services [8]</td>
</tr>
<tr>
<td>23</td>
<td>Investigation and security services, except armoured car services</td>
</tr>
<tr>
<td>24</td>
<td>Education and vocational education services</td>
</tr>
<tr>
<td>25</td>
<td>Health and social services</td>
</tr>
<tr>
<td>26</td>
<td>Recreational, cultural and sporting services [9]</td>
</tr>
<tr>
<td>27</td>
<td>Other services</td>
</tr>
</tbody>
</table>

---

1 Service categories within the meaning of Article 20 and Annex IIA to Directive 2004/18/EC.
2 Except for rail transport services covered by category 18.
3 Except for rail transport services covered by category 18.
4 Except financial services in connection with the issue, sale, purchase or transfer of securities or other financial instruments, and central bank services. The following are also excluded: services involving the acquisition or rental, by whatever financial means, of land, existing buildings or other immovable property or concerning rights thereon. However, financial service contracts concluded at the same time as, before or after the contract of acquisition or rental, in whatever form, shall be subject to the Directive.
5 Except research and development services other than those where the benefits accrue exclusively to the contracting authority for its use in the conduct of its own affairs on condition that the service provided is wholly remunerated by the contracting authority.

6 Except arbitration and conciliation services.

7 Service categories within the meaning of Article 21 and Annex IIB of Directive 2004/18/EC.

8 Except employment contracts.

9 Except contracts for the acquisition, development, production or co-production of program material by broadcasters and contracts for broadcasting time.
Annex D1 – General procurement

Justification for the award of the contract without prior publication of a contract notice in the Official Journal of the European Union (OJEU)

Directive 2004/18/EC

Please provide hereunder a justification for the award of the contract without prior publication of a contract notice in the Official Journal of the European Union. This justification must comply with the requirements of Directive 2004/18/EC.

(In terms of Directive 89/665/EEC on Remedies, the time-limit for application for review referred to in the first indent of Article 2(f)(1)(a) of this Directive can be reduced if the notice contains a justification of the contracting authority’s decision to award the contract without prior publication of a contract notice in the Official Journal of the European Union. In order to benefit from this reduced time-limit, please tick the relevant box(es) and provide the additional information below.)

1) Justification for the choice of the negotiated procedure without prior publication of a contract notice in the OJEU in accordance with Directive 2004/18/EC

No tenders or no suitable tenders in response to:

☐ open procedure,
☐ restricted procedure.

☐ The products involved are manufactured purely for the purpose of research, experiment, study or development under the conditions stated in the Directive. (for supplies only)

The works/goods/services can be provided only by a particular tenderer for reasons that are:

☐ technical,
☐ artistic,
☐ connected with the protection of exclusive rights.

☐ Extreme urgency brought about by events unforeseeable by the contracting authority and in accordance with the strict conditions stated in the Directive.

☐ Additional works/deliveries/services are ordered under the strict conditions stated in the Directive.

☐ New works/services, constituting a repetition of existing works/services and ordered in accordance with the strict conditions stated in the Directive.

☐ Service contract awarded to the successful candidate or one of them after a design contest.

☐ For supplies quoted and purchased on a commodity market.

For the purchase of supplies on particularly advantageous terms:

☐ from a supplier which is definitely winding up its business activities,
☐ from the receivers or liquidators of a bankruptcy, an arrangement with creditors or a similar procedure.

☐ All tenders submitted in reply to an open procedure, a restricted procedure or competitive dialogue were irregular or inacceptable. Only those tenderers were included in the negotiations which have satisfied the qualitative selection criteria.

2) Other justification for the award of the contract without prior publication of a contract notice in the OJEU

☐ The contract has as its object services listed in Annex II B to the Directive.

☐ The contract falls outside the scope of application of the Directive.

In order to benefit from the reduced time-limit referred to above, in addition to the box(es) to be ticked above, please explain in a clear and comprehensive manner why the award of the contract without prior publication of a contract notice in the Official Journal of the European Union is lawful, by stating in any case the relevant facts and, as appropriate, the conclusions of law in accordance with Directive 2004/18/EC: (500 words maximum)