

AnaCredit reporting template for counterparty reference data



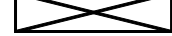
Versions

Version	Date	Description of changes since the previous version
1	18 July 2016	<i>Initial publication</i>
2	9 Sep 2016	<i>Addition of the following data attributes to the five tables:</i> <ul style="list-style-type: none">· <i>“Type of head office undertaking identifier”</i>· <i>“Type of immediate parent undertaking identifier”</i>· <i>“Type of ultimate parent undertaking identifier”</i>
3	14.10.2016	<i>An error was corrected that occurred during the creation of the PDF file. The headers of sections 2 and 3 have disappeared. This has been corrected.</i>
4	19.04.2017	<i>In table 1 we added a remark to the document “Erläuterungen zu den Meldeinhalten”</i>
5	30.06.2017	<i>Reporting requirements for institutions reporting according to § 24 KWG (German Banking Act) were further specified</i> <i>The reporting of national and further (optional) identifiers was aligned with the Bundesbank’s technical reporting template.</i>

Changes since the previous version are highlighted yellow in the relevant reporting template.

2. Reporting obligations for the reference data of the debtor

As at end	
Reporting agent identifier	

	Attribute does not require reporting
	Attribute requires reporting
	Attribute does not require reporting by small reporting agents (as defined above)

	Reporting obligations relating to counterparty reference data for counterparties resident in a reporting member state. ¹	
	Debtors – all instruments originated prior to 1 September 2018 (existing business)	Debtors – at least one instrument originated on or after 1 September 2018 (new business)
Type of counterparty identifier		
Counterparty identifier		
Legal Entity Identifier (LEI)		
Type of national identifier		
National identifier (from ECB's list of national identifiers)		
1st type of Identifier (optional, if more identifiers are available)		
1st identifier (optional, if available - from the list published by Bundesbank) ⁵		
...		
n-th type of Identifier (optional, if more identifiers are available)		
n-th identifier (optional, if available - from the list published by Bundesbank) ⁵		
Type of head office undertaking identifier		
Head office undertaking identifier		
Type of immediate parent undertaking identifier		
Immediate parent undertaking identifier		
Type of ultimate parent undertaking identifier		
Ultimate parent undertaking identifier		
Name		
Address: street		
Address: city/town/village		
Address: county/administrative division		
Address: postal code		
Address: country		
Legal form		
Institutional sector		
Economic activity		
Status of legal proceedings		
Date of initiation of legal proceedings		
Enterprise size		
Date of enterprise size		
Number of employees		
Balance sheet total		
Annual turnover		
Accounting standard		

	Reporting obligations relating to counterparty reference data for counterparties not resident in a reporting member state. ²	
	Debtors – all instruments originated prior to 1 September 2018 (existing business)	Debtors – at least one instrument originated on or after 1 September 2018 (new business)
Type of counterparty identifier		
Counterparty identifier		
Legal Entity Identifier (LEI)		
Type of national identifier		
National identifier (from ECB's list of national identifiers)		
1st type of Identifier (optional, if more identifiers are available)		
1st identifier (optional, if available - from the list published by Bundesbank) ⁵		
...		
n-th type of Identifier (optional, if more identifiers are available)		
n-th identifier (optional, if available - from the list published by Bundesbank) ⁵		
Type of head office undertaking identifier		
Head office undertaking identifier		
Type of immediate parent undertaking identifier		
Immediate parent undertaking identifier		
Type of ultimate parent undertaking identifier		
Ultimate parent undertaking identifier		
Name		
Address: street		
Address: city/town/village		
Address: county/administrative division		
Address: postal code		
Address: country		
Legal form		
Institutional sector		
Economic activity		
Status of legal proceedings		
Date of initiation of legal proceedings		
Enterprise size		
Date of enterprise size		
Number of employees		
Balance sheet total		
Annual turnover		
Accounting standard		

3. Reporting obligations for the reference data of the protection provider

As at end	
Reporting agent identifier	

- Attribute does not require reporting
- Attribute requires reporting
- Attribute does not require reporting by small reporting agents (as defined above)



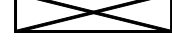
	Reporting obligations relating to counterparty reference data for counterparties resident in a reporting member state. ¹
	<i>Protection provider</i>
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Counterparty identifier	<input checked="" type="checkbox"/>
Legal Entity Identifier (LEI)	<input checked="" type="checkbox"/>
Type of national identifier	<input checked="" type="checkbox"/>
National identifier (from ECB's list of national identifiers)	<input checked="" type="checkbox"/>
1st type of Identifier (optional, if more identifiers are available)	<input checked="" type="checkbox"/>
1st identifier (optional, if available - from the list published by Bundesbank) ⁵	<input checked="" type="checkbox"/>
...	<input checked="" type="checkbox"/>
n-th type of Identifier (optional, if more identifiers are available)	<input checked="" type="checkbox"/>
n-th identifier (optional, if available - from the list published by Bundesbank) ⁵	<input checked="" type="checkbox"/>
Type of head office undertaking identifier	<input checked="" type="checkbox"/>
Head office undertaking identifier	<input checked="" type="checkbox"/>
Type of immediate parent undertaking identifier	<input checked="" type="checkbox"/>
Immediate parent undertaking identifier	<input checked="" type="checkbox"/>
Type of ultimate parent undertaking identifier	<input checked="" type="checkbox"/>
Ultimate parent undertaking identifier	<input checked="" type="checkbox"/>
Name	<input checked="" type="checkbox"/>
Address: street	<input checked="" type="checkbox"/>
Address: city/town/village	<input checked="" type="checkbox"/>
Address: county/administrative division	<input checked="" type="checkbox"/>
Address: postal code	<input checked="" type="checkbox"/>
Address: country	<input checked="" type="checkbox"/>
Legal form	<input checked="" type="checkbox"/>
Institutional sector	<input checked="" type="checkbox"/>
Economic activity	<input checked="" type="checkbox"/>
Status of legal proceedings	<input checked="" type="checkbox"/>
Date of initiation of legal proceedings	<input checked="" type="checkbox"/>
Enterprise size	<input checked="" type="checkbox"/>
Date of enterprise size	<input checked="" type="checkbox"/>
Number of employees	<input checked="" type="checkbox"/>
Balance sheet total	<input checked="" type="checkbox"/>
Annual turnover	<input checked="" type="checkbox"/>
Accounting standard	<input checked="" type="checkbox"/>























































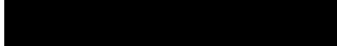
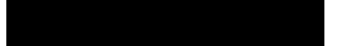
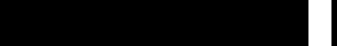
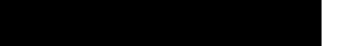
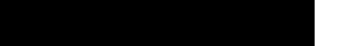
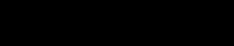
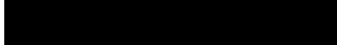
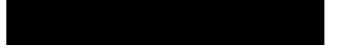
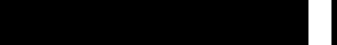
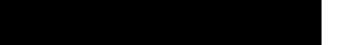
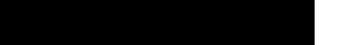
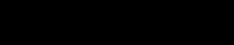
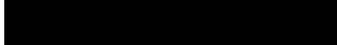
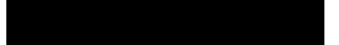
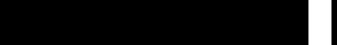
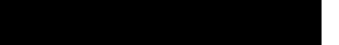
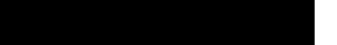
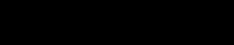
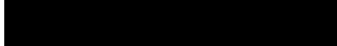
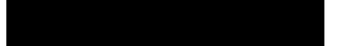
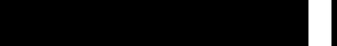
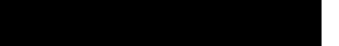
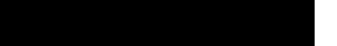
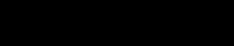


















































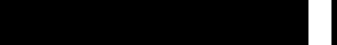
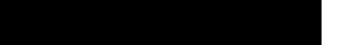
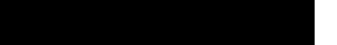
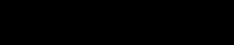
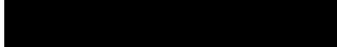
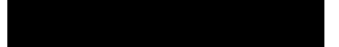
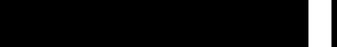
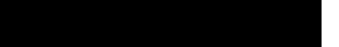
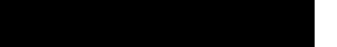
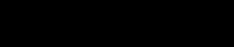
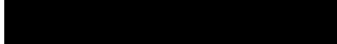
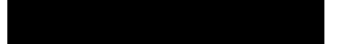
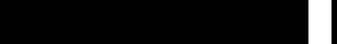
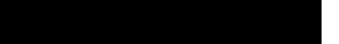
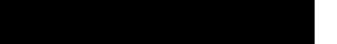
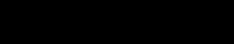
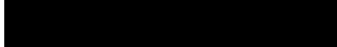
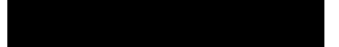
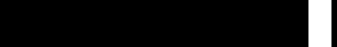
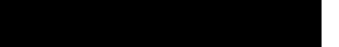
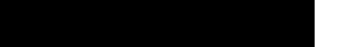
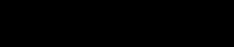
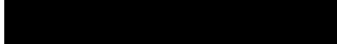
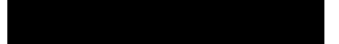
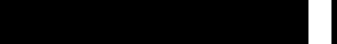
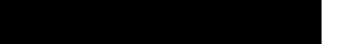
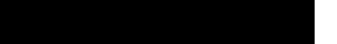
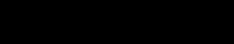
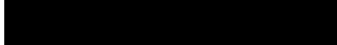
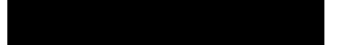
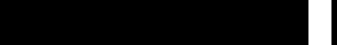
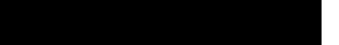
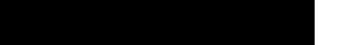
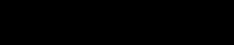
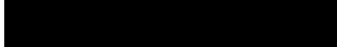
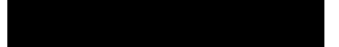
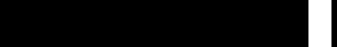
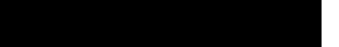
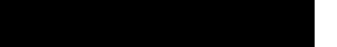
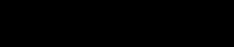






























	Reporting obligations relating to counterparty reference data for counterparties not resident in a reporting member state. ²
	<i>Protection provider</i>
Type of counterparty identifier	<input checked="" type="checkbox"/>
Counterparty identifier	<input checked="" type="checkbox"/>
Legal Entity Identifier (LEI)	<input checked="" type="checkbox"/>
Type of national identifier	<input checked="" type="checkbox"/>
National identifier (from ECB's list of national identifiers)	<input checked="" type="checkbox"/>
1st type of Identifier (optional, if more identifiers are available)	<input checked="" type="checkbox"/>
1st identifier (optional, if available - from the list published by Bundesbank) ⁵	<input checked="" type="checkbox"/>
...	<input checked="" type="checkbox"/>
n-th type of Identifier (optional, if more identifiers are available)	<input checked="" type="checkbox"/>
n-th identifier (optional, if available - from the list published by Bundesbank) ⁵	<input checked="" type="checkbox"/>
Type of head office undertaking identifier	<input checked="" type="checkbox"/>
Head office undertaking identifier	<input checked="" type="checkbox"/>
Type of immediate parent undertaking identifier	<input checked="" type="checkbox"/>
Immediate parent undertaking identifier	<input checked="" type="checkbox"/>
Type of ultimate parent undertaking identifier	<input checked="" type="checkbox"/>
Ultimate parent undertaking identifier	<input checked="" type="checkbox"/>
Name	<input checked="" type="checkbox"/>
Address: street	<input checked="" type="checkbox"/>
Address: city/town/village	<input checked="" type="checkbox"/>
Address: county/administrative division	<input checked="" type="checkbox"/>
Address: postal code	<input checked="" type="checkbox"/>
Address: country	<input checked="" type="checkbox"/>
Legal form	<input checked="" type="checkbox"/>
Institutional sector	<input checked="" type="checkbox"/>
Economic activity	<input checked="" type="checkbox"/>
Status of legal proceedings	<input checked="" type="checkbox"/>
Date of initiation of legal proceedings	<input checked="" type="checkbox"/>
Enterprise size	<input checked="" type="checkbox"/>
Date of enterprise size	<input checked="" type="checkbox"/>
Number of employees	<input checked="" type="checkbox"/>
Balance sheet total	<input checked="" type="checkbox"/>
Annual turnover	<input checked="" type="checkbox"/>
Accounting standard	<input checked="" type="checkbox"/>

4. Reporting obligations for the reference data of the corporate structure

If the head office undertaking identifier, the immediate parent undertaking identifier and the ultimate parent undertaking identifier are to be reported for a debtor (see above), then the following data records are to be submitted.



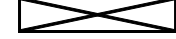
As at end	
Reporting agent identifier	












































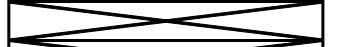
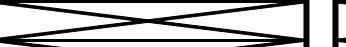

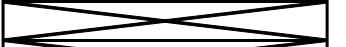
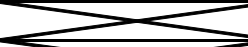






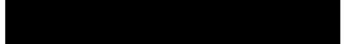
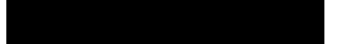
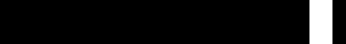
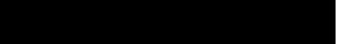
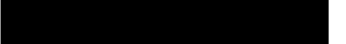
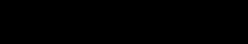
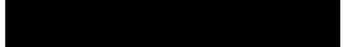
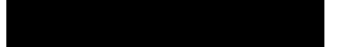
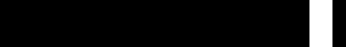
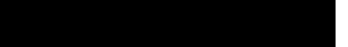
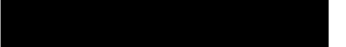
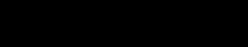
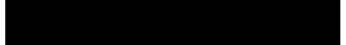
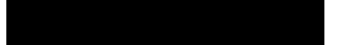
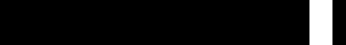
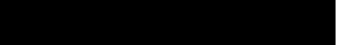
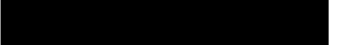
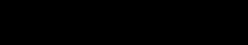
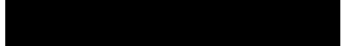
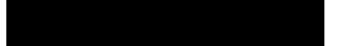
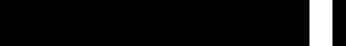
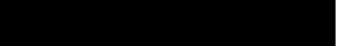
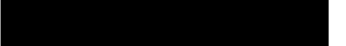
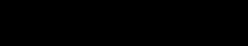
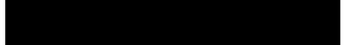
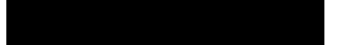
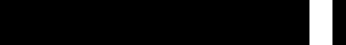
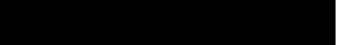
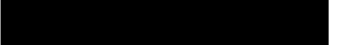
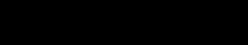








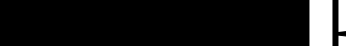


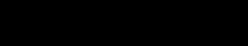


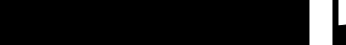


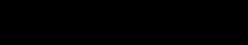


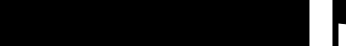


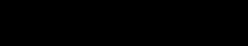


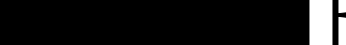


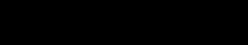


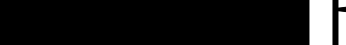


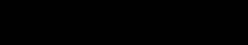


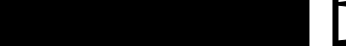


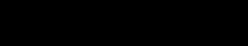

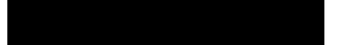
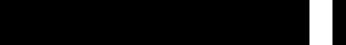
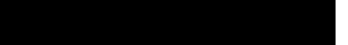
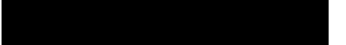
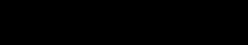
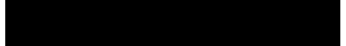
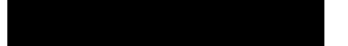
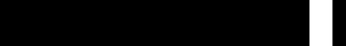
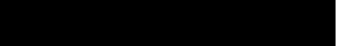
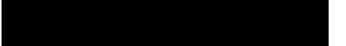
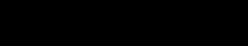
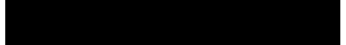
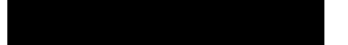
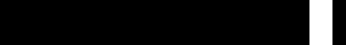
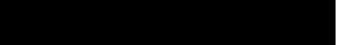
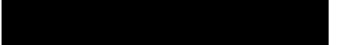
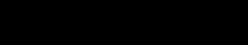
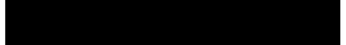
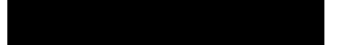
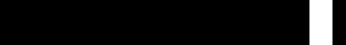
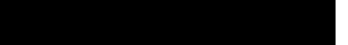
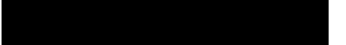
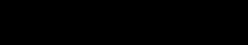
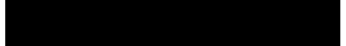
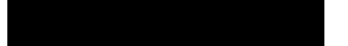
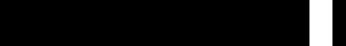
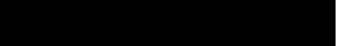
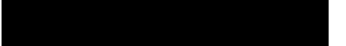
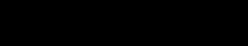
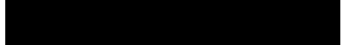
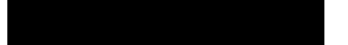
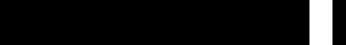
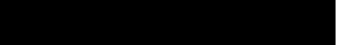
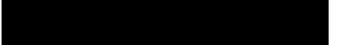
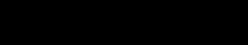
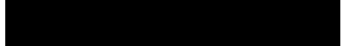
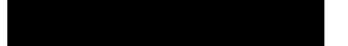
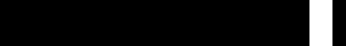
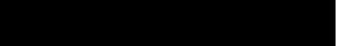
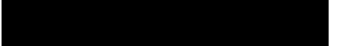
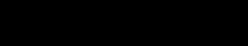
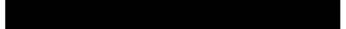
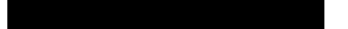
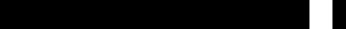
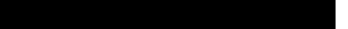
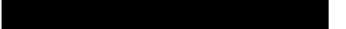



















	Attribute does not require reporting
	Attribute requires reporting
	Attribute does not require reporting by small reporting agents (as defined above)

	Reporting obligations relating to counterparty reference data for counterparties resident in a reporting member state. ¹			Reporting obligations relating to counterparty reference data for counterparties not resident in a reporting member state. ²		
	Head offices of undertakings (prerequisite: that it is a foreign branch)	Immediate parent undertakings	Ultimate parent undertakings	Head offices of undertakings	Immediate parent undertakings	Ultimate parent undertakings
Type of counterparty identifier						
Counterparty identifier						
Legal Entity Identifier (LEI)						
Type of national identifier						
National identifier (from ECB's list of national identifiers)						
1st type of Identifier (optional, if more identifiers are available)						
1st identifier (optional, if available - from the list published by Bundesbank) ³						
...						
n-th type of Identifier (optional, if more identifiers are available)						
n-th identifier (optional, if available - from the list published by Bundesbank) ³						
Type of head office undertaking identifier						
Head office undertaking identifier						
Type of immediate parent undertaking identifier						
Immediate parent undertaking identifier						
Type of ultimate parent undertaking identifier						
Ultimate parent undertaking identifier						
Name						
Address: street						
Address: city/town/village						
Address: county/administrative division						
Address: postal code						
Address: country						
Legal form						
Institutional sector						
Economic activity						
Status of legal proceedings						
Date of initiation of legal proceedings						
Enterprise size						
Date of enterprise size						
Number of employees						
Balance sheet total						
Annual turnover						
Accounting standard						

5. Reporting obligations for the reference data of the Creditor, Originator and Servicer

As at end	
Reporting agent identifier	

	Attribute does not require reporting
	Attribute requires reporting
	Attribute does not require reporting by small reporting agents (as defined above)

	Reporting obligations relating to counterparty reference data for counterparties resident in a reporting member state. ¹			Reporting obligations relating to counterparty reference data for counterparties not resident in a reporting member state. ²		
	<i>Creditor</i>	<i>Originator</i>	<i>Servicer</i>	<i>Creditor</i>	<i>Originator</i>	<i>Servicer</i>
Type of counterparty identifier						
Counterparty identifier						
Legal Entity Identifier (LEI)						
Type of national identifier						
National identifier (from ECB's list of national identifiers)						
1st type of Identifier (optional, if more identifiers are available)						
1st identifier (optional, if available - from the list published by Bundesbank) ⁵						
...						
n-th type of Identifier (optional, if more identifiers are available)						
n-th identifier (optional, if available - from the list published by Bundesbank) ⁵						
Type of head office undertaking identifier						
Head office undertaking identifier						
Type of immediate parent undertaking identifier						
Immediate parent undertaking identifier						
Type of ultimate parent undertaking identifier						
Ultimate parent undertaking identifier						
Name						
Address: street						
Address: city/town/village						
Address: county/administrative division						
Address: postal code						
Address: country						
Legal form						
Institutional sector						
Economic activity						
Status of legal proceedings						
Date of initiation of legal proceedings						
Enterprise size						
Date of enterprise size						
Number of employees						
Balance sheet total						
Annual turnover						
Accounting standard	