

Investment fund statistics

pursuant to Bundesbank order of 26 November 2013
(Bundesbank notice No 8003/2013, Federal Gazette (*Bundesanzeiger*) of 9 December 2013)

General information for the individual fund

(to be completed for each individual fund only the first time it is reported or where features change)

01	BBk-Instituts-ID	<input type="text"/>
02	Name of the fund	<input type="text"/>
03	ISIN of the fund¹	<input type="text"/>
04	Applicable law (Country)	<input type="text"/>
05	Legal Entity Identifier (LEI)²	<input type="text"/>
06	<input type="checkbox"/> Initial report: Date of launch	<input type="text"/> Year Month Day
	<input type="checkbox"/> New issue	
	<input type="checkbox"/> Take-over from	
	ISIN	<input type="text"/>
	Name of the country	<input type="text"/>
	Country of domicile	<input type="text"/>
07	<input type="checkbox"/> Change report: Date of change	<input type="text"/> Year Month Day
	<input type="checkbox"/> Closure	
	<input type="checkbox"/> Transfer to	
	Name of the company	<input type="text"/>
	Country of domicile	<input type="text"/>
	<input type="checkbox"/> Merger with	
	ISIN	<input type="text"/>
	Name of the company	<input type="text"/>
	Country of domicile	<input type="text"/>
	<input type="checkbox"/> Other	
08	Organisational form of the investment fund	
	<input type="checkbox"/> Open-end	<input type="checkbox"/> Closed-end
	<input type="checkbox"/> Specialised fund	<input type="checkbox"/> Investment stock corporation with fixed capital
	<input type="checkbox"/> Investment stock corporation with changeable capital	<input type="checkbox"/> Investment limited partnership
	<input type="checkbox"/> Investment limited partnership	<input type="checkbox"/> Other <input type="text"/>
09	Type of fund	
	<input type="checkbox"/> Public fund	<input type="checkbox"/> Specialised AIF
	<input type="checkbox"/> Undertakings for collective Investments in transferable Securities (UCITS)	<input type="checkbox"/> Open-end specialised AIF
	<input type="checkbox"/> Open-end mutual AIF open to the general public	<input type="checkbox"/> General open-end specialised-AIF
	<input type="checkbox"/> Mixed investment fund	<input type="checkbox"/> Hedge funds
	<input type="checkbox"/> Other investment fund	<input type="checkbox"/> Specialised AIF with fixed investment terms and conditions
	<input type="checkbox"/> Fund of hedge funds	<input type="checkbox"/> Closed-end specialised AIF
	<input type="checkbox"/> Real estate	
	<input type="checkbox"/> Closed-end mutual AIF open to the general public	

1 If ISIN is not available, internal ID .

2 To be completed if available.

10 Type of unit holder – Open-ended investment fund³

- Residents:
 - Credit institutions
 - Insurance corporations
 - Life insurance corporations
 - Other insurance corporations
 - Pension schemes (pension funds, occupational pension schemes etc)
 - Other financial intermediaries
 - Financial auxiliaries
 - Non-financial corporations (including industrial foundations, employer or trade associations)
 - Central government
 - State governments
 - Local governments
 - Social security funds
 - Public (in particular the central and state government's supplementary pension scheme or Versorgungsanstalt des Bundes und der Länder) and church supplementary pension funds
 - Non-profit institutions serving households and other (e.g. churches, political parties, trade unions, associations)
- Non-residents

11 Type of unit holder – Closed-end investment fund

may be duplicated as required

Amount (in thousands of euro)	
Country of domicile of the unit holder	
Sector of the unit holder	

12 Type of fund according to investment activity⁴

- Equity-based fund
- Bond-based fund
- Mixed securities-based fund
- Real estate fund
- Money market fund
 - Money market fund with short maturity structure
- Hedge funds
- Derivatives funds
- Pension mutual fund
- Infrastructure fund
- Commodity fund
- Credit fund
- Ship fund
- Aircraft fund
- Energy fund
- Private Equity funds
 - Venture Capital funds
- Leasing fund
- Other fund
- Fund of funds
 - Investment focus:

<input type="checkbox"/> Equity-based fund	<input type="checkbox"/> Hedge funds	<input type="checkbox"/> Aircraft fund
<input type="checkbox"/> Bond-based fund	<input type="checkbox"/> Derivatives funds	<input type="checkbox"/> Energy fund
<input type="checkbox"/> Mixed securities-based fund	<input type="checkbox"/> Pension mutual fund	<input type="checkbox"/> Private Equity Funds
<input type="checkbox"/> Real estate fund	<input type="checkbox"/> Infrastructure fund	<input type="checkbox"/> Venture Capital Funds
<input type="checkbox"/> Money market fund	<input type="checkbox"/> Commodity fund	<input type="checkbox"/> Leasing fund
<input type="checkbox"/> Money market fund with short maturity structure	<input type="checkbox"/> Credit fund	<input type="checkbox"/> Other fund
	<input type="checkbox"/> Ship fund	

³ To be completed if Specialised AIF. In the case of several types of unit holders, the fund should be classified to the group with the most holdings.

⁴ Pursuant to contractual terms.

