

Annex Z 10 to AWV

Securities and financial derivatives transactions with non-residents

Report pursuant to sections 67 (4) and 70 (1) 1 of the Foreign Trade and Payments Regulation (AWV)

To
Deutsche Bundesbank
 Servicezentrum Außenwirtschaftsstatistik
55148 Mainz

Registration number/ resp. bank code:

Respondent:

Branch of activity:

Address:

Phone (+extension): Fax:

E-mail address:

Contact:

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | 10 |
|------------------|--|-----------------------------------|------|---|----|------------------|--------------|---|------------------------------|---|
| Nominal currency | Value in thousand units of nominal currency or exact number of units | Type of securities or derivatives | ISIN | Country for foreign securities: country of issuer for domestic securities or derivatives: country of non-resident counterparty | BA | Transaction code | Country code | Incoming payments for sales to non-residents and redemptions received | | Outgoing payments for purchases from non-residents and redemptions paid |
| | | | | | | | | BA 3 | Amounts in thousands of Euro | |
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AWV Z 10 09.13

City, date _____

Signature _____

**Explanatory notes prepared by the Deutsche Bundesbank on the reporting form
“Securities and financial derivatives transactions with non-residents”
– Annex Z 10 to the Foreign Trade and Payments Regulation (AWV) –**

General information

The statistical data are required by the Deutsche Bundesbank for the purpose of compiling the German balance of payments. Section 70 (1) number 1 of the Foreign Trade and Payments Regulation (*Außenwirtschaftsverordnung* or AWV) imposes a statutory obligation to furnish this information. The Bundesbank undertakes to treat these data confidentially and will not make them available to third parties.

Reporting requirements and reporting exemptions

The following payments must be reported:

Incoming and outgoing payments of more than €12,500, or the equivalent in another currency, relating to purchase and sale of securities or financial derivatives which the reporting party sells to or buys from non-residents for its own account or for the account of others. Moreover, payments which the reporting party makes to non-residents or receives from non-residents in connection with the redemption of domestic securities as well as repayments on foreign securities in the reporting party's own portfolio must also be reported.

Domestic **financial institutions** must report in particular:

- a) Domestic or foreign securities or financial derivatives purchased from or sold to non-residents while executing residents' orders
- b) Domestic or foreign securities or financial derivatives purchased from or sold to residents while executing non-residents' orders
- c) Payments to or from non-residents in connection with the redemption of domestic securities
- d) Payments received from non-residents in connection with repayments on foreign securities, if these are part of the reporting party's own portfolio
- e) Transactions with non-residents for the reporting party's own account involving domestic or foreign securities and financial derivatives transactions which are concluded either on foreign stock exchanges or directly with non-residents
- f) Payments related to the purchase and sale or repayment of money market instruments (eg certificates of deposit, euro notes and commercial paper)

The following are not to be reported:

Transactions concluded between residents involving domestic and foreign securities or financial derivatives.

Legal basis

Foreign Trade and Payments Act (*Außenwirtschaftsgesetz*), Foreign Trade and Payments Regulation (*Außenwirtschaftsverordnung*), Federal Statistics Act (*Bundesstatistikgesetz*).

Explanatory notes on the individual items in the report

“Code” column

A **code** must be entered for all incoming and outgoing payments **using the “Coding list for balance of payments”**. Notes on the codes can be found in the brochure entitled “Notes on the coding list for the balance of payments statistics”, which can be downloaded in pdf format from the internet at www.bundesbank.de/en – Service – Reporting systems – External sector – Code lists.

“Nominal value/number” column

This column should contain the nominal value (in thousands) or the total number of the securities.

“Name of securities/financial derivatives” column

This column should contain the name of the securities or financial derivatives.

“ISIN” column

The 12-digit international securities identification number (ISIN) should be entered in the “ISIN” column.

“Country” and “Country code” columns

In the case of foreign securities, the country in which the issuer is domiciled is to be given. In the case of domestic securities, however, the country in which the non-resident buyer or seller is domiciled is to be given. In the case of transactions in bonds issued by international organisations, including international credit institutions, and in the case of transactions in domestic securities which are settled with international organisations, the name of the international organisation is to be given in the

“Country” column and not the country in which the organisation is domiciled.

For transactions with a central counterparty (CCP), the following country classification applies: If the central counterparty is located abroad, the country of domicile of the CCP or the stock exchange is to be given. For transactions which a resident general clearer concludes with a non-resident non-clearer, the country of the non-resident non-clearer should be entered.

For OTC derivatives transactions, the country of domicile of the non-resident counterparty should be entered.

The corresponding ISO alpha-2 code should be entered in the “Country code” column.

“Incoming/outgoing payments” column

Amounts should be entered in thousands of euro and rounded in accordance with standard commercial practice.

“Currency” column

The currency in which the securities or financial derivatives in question are denominated should be entered in this column.

Submission of reports and reporting deadline

The Z10 reporting data for each calendar month must be submitted to the Deutsche Bundesbank by the fifth day of the following calendar month. Reports should be submitted electronically via the Deutsche Bundesbank’s ExtraNet, either using the General statistics reporting portal (AMS) or by file transfer.

(www.bundesbank.de/en – Service – Reporting systems – External sector – Electronical submission).

Record-keeping requirements

As proof of compliance with reporting requirements, report documents (eg copies of the reports submitted) must be kept for a minimum of three years. It should be possible to trace individual transactions from the documents.

Information

Further information about external sector reporting can be found on the Deutsche Bundesbank website at www.bundesbank.de/en – Service – Reporting systems – External sector .

You can also ring our free telephone hotline on 0800 1234 111 from any German landline network.

Income payments on securities vis-à-vis non-residents

Report pursuant to section 70 (1) 2 of the Foreign Trade and Payments Regulation (AWV)

To
Deutsche Bundesbank
Servicezentrum
Außenwirtschaftsstatistik
55148 Mainz

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|---|--|-------------|--|
| Registration number resp. bank code: | | Month/year: | |
| Financial institution: | | | |
| Address: | | | |
| Contact: | | | |
| Phone (+extension): | | Fax: | |
| E-mail-address: | | | |

| | | Amounts in thousands of Euro | | | |
|---------|--------------|---|------------------------------------|-------------------------------|---|
| 1 | 2 | 3 | 4 | 5 | 6 |
| Country | Country Code | Incoming interest, dividend and income payments on domestic securities transactions | | | |
| | | Government and local authority bonds BA 3 - 382 | Private bonds BA 3 - 183 | Equities BA 3 - 285 | Mutual fund shares BA 3 - 685 |
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Place, date

Signature

Income payments on securities vis-à-vis non-residents

Report pursuant to section 70 (1) 2 of the Foreign Trade and Payments Regulation (AWV)

To
Deutsche Bundesbank
Servicezentrum
Außenwirtschaftsstatistik
55148 Mainz

Registration number resp. bank Code:

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 Month/year

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Financial institution: _____
Address: _____
Contact: _____
Phone (+extension): _____ Fax: _____
E-mail-address: _____

| | | Amounts in thousands of Euro | | | |
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| 1 | 2 | 3 | 4 | 5 | 6 |
| Country | Country code | Outgoing interest, dividend and income payments on domestic securities | | | |
| | | Government and local authority bonds BA 4 - 382 | Private bonds BA 4 - 183 | Equities BA 4 - 285 | Mutual fund shares BA 4 - 685 |
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Place, date _____

Signature _____

**Explanatory notes prepared by the Deutsche Bundesbank
on reporting by financial institutions of
“Income payments on securities vis-à-vis non-residents”
- Annex Z 11 to the Foreign Trade and Payments Regulation (AWV) -**

General information

The statistical data are required by the Deutsche Bundesbank for the purpose of compiling the German balance of payments. Section 70 (1) number 2 of the Foreign Trade and Payments Regulation (*Außenwirtschaftsverordnung* or AWV) – hereinafter referred to as AWV – imposes a statutory obligation to furnish this information. The Bundesbank undertakes to treat these data confidentially and will not make them available to third parties.

Domestic securities: Securities issued by a resident.

Reporting requirements and reporting exemptions

The following payments must be reported:

Interest, dividend and income payments to non-residents on domestic securities (including mutual fund shares and bonds denominated in a foreign currency). The securities income to be reported also includes compensation payments arising out of genuine repurchase agreements and securities lending transactions as well as compensation payments in connection with the holding of domestic securities as collateral abroad. Payments to be made by a financial institution in connection with its own issues must also be reported.

Legal basis

Foreign Trade and Payments Act (*Außenwirtschaftsgesetz*), Foreign Trade and Payments Regulation (*Außenwirtschaftsverordnung*), Federal Statistics Act (*Bundesstatistikgesetz*).

Explanatory notes on the individual items in the report

“Country” and “Country code” columns

Please enter the actual net amounts paid out or credited to non-residents

- Categorised by the country in which the beneficiary is resident or domiciled (**creditor country**)
- Categorised by the country in which the depository or the non-resident counterparty is domiciled

If the **beneficiary** of the payment is an international organisation, the amounts are to be entered under the name of the international organisation and not under the country in which the organisation is domiciled.

The corresponding ISO alpha-2 code should be entered in the “Country code” column.

“Outgoing interest, dividend and income payments on domestic securities” column

When calculating the amounts to be reported, all income payments on securities vis-à-vis non-residents should be included **regardless of size** since, in this case, the reporting thresholds pursuant to section 67 (2) number 1 and section 70 (1) number 3 of the AWV do not apply.

“Incoming interest, dividend and income payments on domestic securities” column

The procedure here is the same as for the "Outgoing interest, dividend and income payments on domestic securities" column. Income from domestic investments that is received by residents via foreign depositories or custodian banks must also be reported.

“Amounts in thousands of euro” column

Amounts should be entered in thousands of euro and rounded in accordance with standard commercial practice. Please enter the actual (net) amounts paid out or credited to non-residents.

Submission of reports and reporting deadline

The reporting data for each calendar month must be submitted to the Deutsche Bundesbank by the fifth day of the following calendar month. Reports should be submitted electronically via the Deutsche Bundesbank's ExtraNet, either using the General statistics reporting portal (AMS) or by file transfer. (www.bundesbank.de/en – Service – Reporting systems – External sector – Electronical submission).

Record-keeping requirements

As proof of compliance with reporting requirements, report documents (eg copies of the reports submitted) must be kept for a minimum of three years. It should be possible to trace individual transactions from the documents.

Information

Further information about external sector reporting can be found on the Deutsche Bundesbank website at www.bundesbank.de/en – Service – Reporting systems – External sector.

You can also ring our free telephone hotline on 0800 1234 111 from any German landline network.

**Explanatory notes prepared by the Deutsche Bundesbank
on reporting by financial institutions of
“Incoming and outgoing payments in connection with foreign travel:
volume of card transactions”**

- Annex Z 12 to the Foreign Trade and Payments Regulation (AWV) -

General information

Annex Z 12 to the Foreign Trade and Payments Regulation (*Außenwirtschaftsverordnung* or AWV) – hereinafter referred to as AWV – is to be used by reporting financial institutions to enter details of transaction volume that constitutes **income or expenditure in connection with foreign travel** for the Federal Republic of Germany.

The data provided by financial institutions on cross-border transactions in connection with foreign travel are needed to compile Germany's balance of payments. The balance of payments contains details of the German national economy's income from travel by non-residents in Germany and of expenditure by German residents travelling abroad.

The statistical data are required by the Deutsche Bundesbank for the purpose of compiling the German balance of payments. Section 70 (1) number 4a of the AWV imposes a statutory obligation to furnish this information. The Bundesbank undertakes to treat these data confidentially and will not make them available to third parties.

Reporting requirements and reporting exemptions

The following payments must be reported:

The equivalent values of debit card and credit card transaction volume settled by the reporting financial institution with other countries in connection with foreign travel.

The transactions are to be classified by trader category (for example, payments made for hotel accommodation, car hire and restaurants). ATM transactions are also to be included.

The following are not to be reported:

Payments for return air tickets, payments concerning the purchase or sale of goods via the internet or expenditure incurred for wagers / games on the internet (with no connection to the journey being undertaken).

Transaction volume of non-resident financial institutions is also not to be reported if resident financial institutions collect the amounts through another resident financial institution or a specific collection agency in Germany.

Transaction volume involving **foreign banknotes** and **foreign currency traveller's cheques** is to be reported using Annex Z 13 to the AWV.

Transaction volume involving **euro traveller's cheques** is not to be reported.

The reporting threshold pursuant to section 67 (2) number 1 does not apply when calculating the transaction volume to be reported. It is not necessary to submit nil reports.

Agencies and relatives of foreign troops stationed in Germany are not considered travellers for reporting purposes.

Credit transfers between non-residents and residents in connection with foreign travel must be reported personally by the beneficiaries or customers (travel operators, transport and accommodation companies, individuals, etc) as stipulated in the general reporting requirements on payments relating to external transactions laid out in section 67 of the AWV.

Legal basis

Foreign Trade and Payments Act (*Außenwirtschaftsgesetz*), Foreign Trade and Payments Regulation (*Außenwirtschaftsverordnung*), Federal Statistics Act (*Bundesstatistikgesetz*).

Explanatory notes on the individual items in the report

“Country” and “Country code” columns

In the columns for income in connection with foreign travel: country of residence.

In the columns for expenditure in connection with foreign travel: country of travel.

The corresponding ISO alpha-2 code should be entered in the “Country code” column.

“Income in connection with foreign travel” column

Income comprises the equivalent values of **debit card** and **credit card** transaction volume that is settled with other countries and that result from expenditure by non-residents travelling in Germany.

“Expenditure in connection with foreign travel” column

Expenditure comprises the equivalent values of **debit card** and **credit card** transaction volume that is settled with other countries and that result from expenditure by residents travelling abroad.

“Amounts in thousands of euro” column

The monthly totals for each country should be entered in thousands of euro and rounded in accordance with standard commercial practice.

Submission of reports and reporting deadline

The reporting data for each calendar month must be submitted to the Deutsche Bundesbank by the fifth day of the following calendar month. Reports should be submitted electronically via the Deutsche Bundesbank’s ExtraNet, either using the General statistics reporting portal (AMS) or by file transfer. (www.bundesbank.de/en – Service – Reporting systems – External sector – Electrical submission).

The reporting threshold pursuant to section 67 (2) number 1 does not apply when calculating the transaction volume to be reported. It is not necessary to submit nil reports.

Record-keeping requirements

As proof of compliance with reporting requirements, report documents (eg copies of the reports submitted) must be kept for a minimum of three years. It should be possible to trace individual transactions from the documents.

Information

Further information about external sector reporting can be found on the Deutsche Bundesbank website at www.bundesbank.de/en – Service – Reporting systems – External sector.

You can also ring our free telephone hotline on 0800 1234 111 from any German landline network.

Registration number resp. bank code: Month/year:

To
Deutsche Bundesbank
Servicezentrum
Außenwirtschaftsstatistik
55148 Mainz

Financial institution:

Address:

Contact:

Phone (+extension): Fax:

E-mail-address:

| Currency ¹ | | Amounts in thousands of Euro | | | |
|-----------------------|--------------------------|--|---|-------------------------------|------------|
| | | Income from foreign travel | | Expenditure on foreign travel | |
| | | Foreign currency purchased/imported by non-banks | Foreign currency cheques sent directly abroad to be credited, cashed or collected | sold/issued to non-banks | |
| Foreign currency | Foreign currency cheques | | | | |
| | | BA 1 - 010 | BA 1 - 011 | BA 2 - 010 | BA 2 - 011 |
| Australian dollar | AUD | | | | |
| Danish krone | DKK | | | | |
| Forint | HUF | | | | |
| Canadian dollar | CAD | | | | |
| New Zealand dollar | NZD | | | | |
| Norwegian krone | NOK | | | | |
| Pound sterling | GBP | | | | |
| Rouble | RUB | | | | |
| Swedish krona | SEK | | | | |
| Swiss franc | CHF | | | | |
| Czech krone | CZK | | | | |
| US dollar | USD | | | | |
| Yen | JPY | | | | |
| Zloty | PLN | | | | |
| Total: | X | | | | |

¹ Transactions in other currencies need not to be reported.

City, date

Signature

**Explanatory notes prepared by the Deutsche Bundesbank
on reporting by financial institutions of
“Incoming and outgoing payments in connection with foreign travel:
foreign currency and foreign currency traveller’s cheques”
- Annex Z 13 to the Foreign Trade and Payments Regulation (AWV) -**

General information

Annex Z 13 to the Foreign Trade and Payments Regulation (*Außenwirtschaftsverordnung* or AWV) – hereinafter referred to as AWV – is to be used by reporting financial institutions to enter details of transaction volume involving **foreign currency** and **foreign currency traveller's cheques** that constitutes **income or expenditure in connection with foreign travel** for the Federal Republic of Germany.

The data provided by financial institutions on cross-border transactions in connection with foreign travel are needed to compile Germany's balance of payments. The balance of payments contains details of the German economy's income from travel by non-residents in Germany and of expenditure by German residents travelling abroad.

The statistical data are required by the Deutsche Bundesbank for the purpose of compiling the German balance of payments. Section 70 (1) number 4b of the AWV imposes a statutory obligation to furnish this information. The Bundesbank undertakes to treat these data confidentially and will not make them available to third parties.

Reporting requirements and reporting exemptions

Credit transfers between non-residents and residents in connection with foreign travel must be reported personally by the beneficiaries or customers (travel operators, transport and accommodation companies, individuals, etc) as stipulated in the general reporting requirements for payments relating to external transactions laid out in section 67 of the AWV.

The reporting threshold pursuant to section 67 (2) number 1 does not apply when calculating the transaction volume to be reported.

Agencies and relatives of foreign troops stationed in Germany are not considered travellers for reporting purposes.

The following payments must be reported:

- Foreign currency purchased/imported by **non-banks**
- The equivalent value of foreign currency traveller's cheques used in payment or presented for encashment in Germany that were **sent directly** (ie without the involvement of another resident financial institution) to other countries for crediting, encashment or collection by the reporting financial institution.
- The equivalent value of foreign currency traveller's cheques that are sent to other countries via resident branches of non-resident credit institutions (even if these are responsible **only for forwarding** the traveller's cheques). Other forms of collection (eg via paperless exchange of data media) are regarded as equivalent for sending purposes.
- Foreign currency passed on / sold to non-banks
- The equivalent value of the foreign currency traveller's cheques sold / passed on to resident travellers

The following are not to be reported:

- Foreign currency transaction volume with other resident or non-resident financial institutions, branches or depositories (interbank transactions)
- Foreign currency transaction volume, if it is assumed that they are not connected with foreign travel, eg the exchange of foreign currency in order to purchase securities, sales to enterprises as payment for imports and the exchange of export receipts for cash. Foreign currency purchases made by relatives of foreign troops stationed in the economic territory also are not reported under foreign travel.
- The equivalent value of foreign currency traveller's cheques drawn on non-resident credit institutions, if resident financial institutions do not settle them directly with non-resident financial institutions but instead collect them via another resident financial institution or a specific collection agency in Germany.
- The equivalent value of foreign currency traveller's cheques, if, for example, they were sent by a non-resident correspondent bank for encashment or collection.

- Foreign currency transaction volume with other resident or non-resident financial institutions, branches or depositories (interbank transactions)
- Transactions involving euro traveller's cheques

Legal basis

Foreign Trade and Payments Act (*Außenwirtschaftsgesetz*), Foreign Trade and Payments Regulation (*Außenwirtschaftsverordnung*), Federal Statistics Act (*Bundesstatistikgesetz*).

Explanatory notes on the individual items in the report

“Currency” column

Please enter one of the currencies admissible for Annex Z 13.

“Amounts in thousands of euro” column

The monthly totals for each currency should be entered in thousands of euro and rounded in accordance with standard commercial practice.

Submission of reports and reporting deadline

The reporting data for each calendar month must be submitted to the Deutsche Bundesbank by the fifth day of the following calendar month. Reports should be submitted electronically via the Deutsche Bundesbank's ExtraNet, either using the General statistics reporting portal (AMS) or by file transfer. It is not necessary to submit nil reports. (www.bundesbank.de/en – Service – Reporting systems – External sector – Electronical submission).

Record-keeping requirements

As proof of compliance with reporting requirements, report documents (eg copies of the reports submitted) must be kept for a minimum of three years. It should be possible to trace individual transactions from the documents.

Information

Further information on external sector reporting can be found on the Deutsche Bundesbank website at www.bundesbank.de/en – Service – Reporting systems – External sector. You can also ring our free telephone hotline on 0800 1234 111 from any German landline network.

**Explanatory notes prepared by the Deutsche Bundesbank
on reporting by financial institutions of
“Interest income and similar receipts in foreign trade and payments
(excluding interest on securities)”**

- Annex Z 14 to the Foreign Trade and Payments Regulation (AWV) -

General information

The statistical data are required by the Deutsche Bundesbank for the purpose of compiling the German balance of payments. Section 70 (1) number 3 of the Foreign Trade and Payments Regulation (*Außenwirtschaftsverordnung* or AWV) – hereinafter referred to as AWV – imposes a statutory obligation to furnish this information. The Bundesbank undertakes to treat these data confidentially and will not make them available to third parties.

Only interest income and similar receipts exceeding €12,500 or the equivalent in another currency have to be reported. Financial institutions which are able to include amounts below this reporting threshold are asked to enter this information.

Reporting requirements and reporting exemptions

The following payments must be reported:

Interest income and similar receipts are to be included in this report in accordance with their allocation in the profit and loss account.

The following are not to be reported

By contrast, commissions and similar fees are to be reported as financial services under code 533 in Annex Z 4 to the AWV.

Legal basis

Foreign Trade and Payments Act (*Außenwirtschaftsgesetz*), Foreign Trade and Payments Regulation (*Außenwirtschaftsverordnung*), Federal Statistics Act (*Bundesstatistikgesetz*).

Explanatory notes on the individual items in the report

"Debtor country" and "Country code" columns

Amounts should be recorded according to the country in which the non-resident debtor of the interest payments is domiciled or resident. In the case of interest income from international organisations, amounts should be entered under the name of the international organisation in the line provided and not under the name of the country in which the organisation is domiciled. The corresponding ISO alpha-2 code should be entered in the "Country code" column.

"Amounts in thousands of euro" column

Amounts should be entered in thousands of euro and rounded in accordance with standard commercial practice.

Submission of reports and reporting deadline

The reporting data for each calendar month must be submitted to the Deutsche Bundesbank by the fifth day of the following calendar month. Reports should be submitted electronically via the Deutsche Bundesbank's ExtraNet, either using the General statistics reporting portal (AMS) or by file transfer. (www.bundesbank.de/en – Service – Reporting systems – External sector – Electronical submission).

It is not necessary to submit nil reports.

Record-keeping requirements

As proof of compliance with reporting requirements, report documents (eg copies of the reports submitted) must be kept for a minimum of three years. It should be possible to trace individual transactions from the documents.

Information

Further information about external sector reporting can be found on the Deutsche Bundesbank website at www.bundesbank.de/en – Service – Reporting systems – External sector.

You can also ring our free telephone hotline on 0800 1234 111 from any German landline network.

Interest expenditure and similar expenditure in foreign trade and payments (excluding interest on securities)

Report pursuant to section 70 (1) 3 of the Foreign Trade and Payments Regulation (AWV)

To
Deutsche Bundesbank
 Servicezentrum
 Außenwirtschaftsstatistik
55148 Mainz

Registration number resp. bank code:

Month/year:

Financial institution: _____

Address: _____

Contact: _____

Phone (+extension) Fax:

E-mail-address: _____

| Amounts in thousands of Euro | | | | | |
|-------------------------------------|--------------|----------------------------------|------------------|--------------|----------------------------------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| Creditor country | Country code | Interest and similar expenditure | Creditor country | Country code | Interest and similar expenditure |
| | | BA 4 - 184 | | | BA 4-184 |
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City, date _____

Signature _____

**Explanatory notes prepared by the Deutsche Bundesbank
on reporting by financial institutions of
“Interest expenditure and similar expenditure in foreign trade and payments
(excluding interest on securities)”**

- Annex Z 15 to the Foreign Trade and Payments Regulation (AWV) -

General information

The statistical data are required by the Deutsche Bundesbank for the purpose of compiling the German balance of payments. Section 70 (1) number 3 of the Foreign Trade and Payments Regulation (*Außenwirtschaftsverordnung* or AWV) – hereinafter referred to as AWV – imposes a statutory obligation to furnish this information. The Bundesbank undertakes to treat these data confidentially and will not make them available to third parties.

Only interest expenditure and similar expenditure exceeding €12,500 or the equivalent in another currency have to be reported. Financial institutions which are able to include amounts below this reporting threshold are asked to enter this information.

Reporting requirements and reporting exemptions

The following payments must be reported:

Interest expenditure and similar expenditure are to be included in this report in accordance with their allocation in the profit and loss account.

The following are not to be reported:

By contrast, commissions and similar payments are to be reported as financial services under code 533 in Annex Z 4 to the AWV.

Legal basis

Foreign Trade and Payments Act (*Außenwirtschaftsgesetz*), Foreign Trade and Payments Regulation (*Außenwirtschaftsverordnung*), Federal Statistics Act (*Bundesstatistikgesetz*).

Explanatory notes on the individual items in the report

“Creditor country” and “Country code” columns

Amounts should be reported according to the country in which the non-resident creditor of the interest payments is domiciled or resident. In the case of interest paid to international organisations, amounts should be entered under the name of the international organisation in the line provided and not under the name of the country in which the organisation is domiciled. The corresponding ISO alpha-2 code should be entered in the “Country code” column.

“Amounts in thousands of euro” column

Amounts should be entered in thousands of euro and rounded in accordance with standard commercial practice.

Submission of reports and reporting deadline

The reporting data for each calendar month must be submitted to the Deutsche Bundesbank by the fifth day of the following calendar month. Reports should be submitted electronically via the Deutsche Bundesbank’s ExtraNet, either using the General statistics reporting portal (AMS) or by file transfer. (www.bundesbank.de/en – Service – Reporting systems – External sector – Electronical submission).

It is not necessary to submit nil reports.

Record-keeping requirements

As proof of compliance with reporting requirements, report documents (eg copies of the reports submitted) must be kept for a minimum of three years. It should be possible to trace individual transactions from the documents.

Information

Further information about external sector reporting can be found on the Deutsche Bundesbank website at www.bundesbank.de/en – Service – Reporting systems – External sector.

You can also ring our free telephone hotline on 0800 1234 111 from any German landline network.