

# **Aggregated Monthly Returns Regulation**

*(Zusammengefaßte-Monatsausweise-Verordnung)*

## **Regulation**

### **on the submission of aggregated monthly returns pursuant to the Banking Act**

of December 29, 1997

as last amended by the Regulation amending the Aggregated Monthly Returns Regulation (*Verordnung zur Änderung der Zusammengefaßte-Monatsausweise-Verordnung*) of March 17, 1999 (Federal Law Gazette I, page 362)

- The forms (Annexes 1 to 3 of the Regulation) are not reproduced here. -

By virtue of section 25 (3) of the Banking Act, as newly formulated by article 1 number 38 of the Act of October 22, 1997 (Federal Law Gazette I, page 2518), read in conjunction with section 1 of the Regulation Transferring the Authority to Issue Regulations to the Federal Banking Supervisory Office of December 19, 1997 (Federal Law Gazette I, page 3156), the following Regulation is hereby issued by the Federal Banking Supervisory Office in agreement with the Deutsche Bundesbank:

#### **1. Submission procedure**

(1) Aggregated monthly returns pursuant to section 25 (2) of the Banking Act shall be submitted by superordinated enterprises within the meaning of section 13b (2) of the Banking Act using the following forms:

1. Aggregated monthly return pursuant to section 25 (2) of the Banking Act QV 1/QV 2 (Annex 1),
2. Aggregated monthly return pursuant to section 25 (2) of the Banking Act – claims on and liabilities to banks (MFIs) – :

QA 1/QA 2 (Annex 2),

3. Aggregated monthly return pursuant to section 25 (2) of the Banking Act  
– claims on and liabilities to non-banks (non-MFIs) – :  
QB 1/QB 2 (Annex 3).

The aggregated monthly returns shall be submitted in triplicate to the branch office of the Land Central Bank appropriate for the superordinated enterprise, showing the position as at the end of the month, by the last business day of the following month at the latest.

(2) The Land Central Banks forward the monthly returns, together with their comments, to the Federal Banking Supervisory Office.

(3) The Federal Banking Supervisory Office may, at the request of a superordinated enterprise, permit it to deviate from the contents as prescribed in the printed forms in accordance with subsection 1 sentence 1 if this is reasonable owing to the particular business structure of the group.

## **2. Exceptions**

(1) Groups of institutions and financial holding groups which do not include any deposit-taking credit institution as a member of the group need only submit aggregated monthly returns pursuant to section 1 (1) sentence 1.

(2) The reporting period for the purposes of subsection (1) is the calendar quarter. The Federal Banking Supervisory Office may decide in particular cases to shorten the reporting period to one calendar month insofar as this is necessary for the performance of its functions.

## **3. Rescission of the Monthly Returns Regulation**

The Monthly Returns Regulation (*Monatsausweisverordnung*) of December 19, 1985 (Federal Law Gazette I, page 2501), as last amended by the Regulation of February 1, 1996 (Federal Law Gazette I, page 149), hereby ceases to apply.

#### **4. Entry into force**

This Regulation enters into force on January 1, 1998.

Berlin, December 29, 1997

The Federal Banking Supervisory Office

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