

Curriculum Vitae

GENERAL INFORMATION

Name: Stephen Lomas
Date of Birth: 21 February 1960 in Stockport/England
Nationality: British
Languages: English (native tongue)
German (quasi-native speaker)
French (basic)
Italian (basic)



CAREER HISTORY

2013 - Present Deutsche Bank, Head of Market Policy Global Transaction Banking
Responsible for formulating strategy/policy, developing frameworks, giving guidance and direction, applying consistent policies, and informing/advising senior management and clients, on all regulatory and market infrastructure developments across Cash Management (Corporate and Financial Institutions), Trade Finance, Trust and Securities Services; representation of DB in industry committees and associations

2010 - 2013 Deutsche Bank AG, Head of Product Management Direct Securities Services
Managing the development of the Clearing, Cross-Border Custody and Domestic Fund Services products in DB's worldwide franchise. Responsibility for Business Strategy, and for the Market Advocacy function, facing off for Deutsche Bank towards numerous strategic industry committees and initiatives

2004 - 2010 Deutsche Bank AG, Head of Domestic Custody Services Business in Western Europe/Middle East and Global Head of Custody Product Management
Extensive engagement in Securities Services (Settlement, Clearing and Asset Servicing) in Deutsche's regional franchise markets (Austria, Belgium, France, Germany, Italy, the Netherlands, Portugal, Spain, Saudi Arabia & United Arab Emirates). Managing the development of the Cross-Border Custody Product in over 30 markets worldwide. Responsibility for the Market Advocacy function

2000 - 2004 Dresdner Bank AG, Head of Securities Operations and Custody Global Transaction Banking
Leading role in projects to buy Lombardkasse, outsource Dresdner's Securities Operations to dwp bank, and sell the Custody unit to Deutsche, as well as in industry initiatives to create the Equity CCP for the German cash market and introduce the New German Settlement Model into Clearstream Banking Frankfurt

- 1992 - 2000 Dresdner Bank AG, Head of Custody Solutions
Securities Operations and Custody

Substantially developed the Custody business and the reputation of the unit as the best of its kind worldwide (1998: Global Custodian's "Agent Bank of the Decade", 2004: "Only Top Rated Agent Bank for 15 consecutive years")
- 1987 - 1992 Dresdner Bank AG, Head of Client Relations
Advisory and Custodian Settlement Services, Securities Operations

Built up the Custody business at Dresdner from scratch. Introduced Securities Borrowing and Lending into the bank
- 1983 - 1987 Dresdner Bank AG, Correspondent Banking Western Europe,
International Division (Cash Management and Trade Finance)
- 1982 - 1983 Dresdner Bank AG, Trainee

EDUCATION AND QUALIFICATIONS

- 1978 - 1981 Trinity College, Oxford
Master of Arts, Modern History
- 1972 - 1977 Ratcliffe College, Leicester
O-Levels, A - Levels

INDUSTRY COMMITTEE REPRESENTATION

- 2016 - Present European Central Bank, Distributed Ledger Technology Task Force (Chair)
- 2016 - Present European Commission, European Post-Trade Forum
- 2015 - Present SWIFT, Audit & Finance Committee
- 2014 - Present European Central Bank, DCP Group
- 2013 - Present SWIFT, Board
- 2012 - Present European Central Bank, CSD Steering Group
- 2012 - Present European Central Bank, Contact Group on Euro Securities Infrastructures
- 2011 - Present European Central Bank, T2S Harmonisation Steering Group
- 2011 - Present European Securities and Markets Authority, Post Trading Consultative Working Group
- 2010 - Present Association of German Banks, Clearing & Settlement Working Group

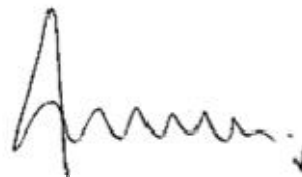
2010 - Present	Association of Global Custodians, Steering Committee (Chair as of January 2016)
2008 - Present	European Central Bank, Target 2 Securities Advisory Group
2008 - Present	T2S German National User Group
2001 - Present*	International Securities Services Association (ISSA), (Chairman since 2014)
2001 - Present	SWIFT Germany, National Member Group (permanent guest)
2001 - Present*	SWIFT Securities Committee, (Vice Chairman since 2014)
2000 - Present*	Clearstream Banking Frankfurt, Advisory Board
1994 - Present*	Clearstream Banking Luxemburg, Customer Consultation Committee

2010 - 2013	SIX SIS, International Advisory Board
2003 - 2008*	Clearstream Banking Frankfurt, Market Rules Committee for the introduction of the New Settlement Model (Chair)
2003 - 2004	Deutsche Boerse, Reference Data Sub-Committee (Chair)
2002 - 2003	Stock Exchange Council of the Frankfurt Stock Exchange, Sub-Committee for the introduction of the Central Counterparty (Vice-Chair)
2001 - 2002	Deutsche Boerse, STP Committee
2000 - 2004	Association of German Banks, Securities Working Group

* The respective mandate from Dresdner Bank was reconfirmed by Deutsche Bank after the sale of Dresdner's Custody business to Deutsche.

INTERESTS

Family, Travel, Fitness, Hiking, History, Golf, Reading



Frankfurt, September 2016

Stephen Lomas