

AnaCredit reporting template for counterparty reference data

Versions

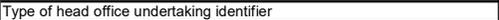
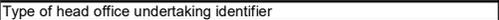
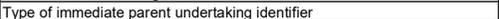
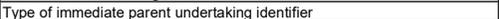
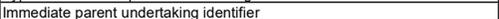
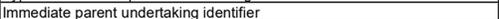
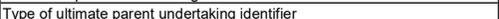
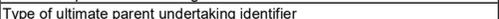
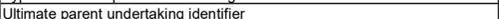
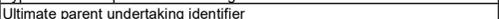
Version	Date	Description of changes since the previous version
1	18 July 2016	Initial publication
2	9 Sep 2016	<p>Addition of the following data attributes to the five tables:</p> <ul style="list-style-type: none"> · “Type of head office undertaking identifier” · “Type of immediate parent undertaking identifier” · “Type of ultimate parent undertaking identifier”
3	14.10.2016	An error was corrected that occurred during the creation of the PDF file. The headers of sections 2 and 3 have disappeared. This has been corrected.
4	19.04.2017	In table 1 we added a remark to the document “Erläuterungen zu den Meldeinhalten”
5	30.06.2017	<p>Reporting requirements for institutions reporting according to § 24 KWG (German Banking Act) were further specified</p> <p>The reporting of national and further (optional) identifiers was aligned with the Bundesbank’s technical reporting template.</p>
6	27.08.2020	<p>Address: country has to be reported for servicers as well.</p> <p>Enterprise size is not mandatory for debtors outside reporting member states any more.</p>

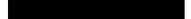
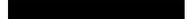
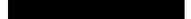
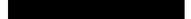
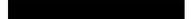
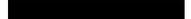
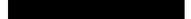
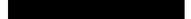
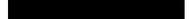
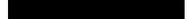
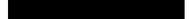
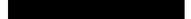
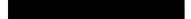
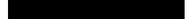
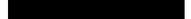
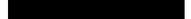
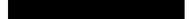
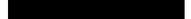
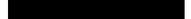
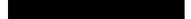
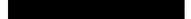
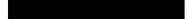
Changes since the previous version are highlighted yellow in the relevant reporting template.

2. Reporting obligations for the reference data of the debtor

As at end	
Reporting agent identifier	

	Attribute does not require reporting
	Attribute requires reporting
	Attribute does not require reporting by small reporting agents (as defined above)

	Reporting obligations relating to counterparty reference data for counterparties resident in a reporting member state. ¹	
	<i>Debtors – all instruments originated prior to 1 September 2018 (existing business)</i>	<i>Debtors – at least one instrument originated on or after 1 September 2018 (new business)</i>
Type of counterparty identifier		
Counterparty identifier		
Legal Entity Identifier (LEI)		
National identifier (from ECB's list of national identifiers)		
1st identifier (optional, if available - from the list published by Bundesbank) ⁵		
...		
n-th identifier (optional, if available - from the list published by Bundesbank) ⁵		
Type of head office undertaking identifier		
Head office undertaking identifier		
Type of immediate parent undertaking identifier		
Immediate parent undertaking identifier		
Type of ultimate parent undertaking identifier		
Ultimate parent undertaking identifier		
Name		
Address: street		
Address: city/town/village		
Address: county/administrative division		
Address: postal code		
Address: country		
Legal form		
Institutional sector		
Economic activity		
Status of legal proceedings		
Date of initiation of legal proceedings		
Enterprise size		
Date of enterprise size		
Number of employees		
Balance sheet total		
Annual turnover		
Accounting standard		

	Reporting obligations relating to counterparty reference data for counterparties not resident in a reporting member state. ²	
	<i>Debtors – all instruments originated prior to 1 September 2018 (existing business)</i>	<i>Debtors – at least one instrument originated on or after 1 September 2018 (new business)</i>
Type of counterparty identifier		
Counterparty identifier		
Legal Entity Identifier (LEI)		
National identifier (from ECB's list of national identifiers)		
1st identifier (optional, if available - from the list published by Bundesbank) ⁵		
...		
n-th identifier (optional, if available - from the list published by Bundesbank) ⁵		
Type of head office undertaking identifier		
Head office undertaking identifier		
Type of immediate parent undertaking identifier		
Immediate parent undertaking identifier		
Type of ultimate parent undertaking identifier		
Ultimate parent undertaking identifier		
Name		
Address: street		
Address: city/town/village		
Address: county/administrative division		
Address: postal code		
Address: country		
Legal form		
Institutional sector		
Economic activity		
Status of legal proceedings		
Date of initiation of legal proceedings		
Enterprise size		
Date of enterprise size		
Number of employees		
Balance sheet total		
Annual turnover		
Accounting standard		

3. Reporting obligations for the reference data of the protection provider

As at end	
Reporting agent identifier	

-  Attribute does not require reporting
-  Attribute requires reporting
-  Attribute does not require reporting by small reporting agents (as defined above)

	Reporting obligations relating to counterparty reference data for counterparties resident in a reporting member state. ¹
	<i>Protection provider</i>
Type of counterparty identifier	
Counterparty identifier	
Legal Entity Identifier (LEI)	
National identifier (from ECB's list of national identifiers)	
1st identifier (optional, if available - from the list published by Bundesbank) ⁵	
...	
n-th identifier (optional, if available - from the list published by Bundesbank) ⁵	
Type of head office undertaking identifier	
Head office undertaking identifier	
Type of immediate parent undertaking identifier	
Immediate parent undertaking identifier	
Type of ultimate parent undertaking identifier	
Ultimate parent undertaking identifier	
Name	
Address: street	
Address: city/town/village	
Address: county/administrative division	
Address: postal code	
Address: country	
Legal form	
Institutional sector	
Economic activity	
Status of legal proceedings	
Date of initiation of legal proceedings	
Enterprise size	
Date of enterprise size	
Number of employees	
Balance sheet total	
Annual turnover	
Accounting standard	

	Reporting obligations relating to counterparty reference data for counterparties not resident in a reporting member state. ²
	<i>Protection provider</i>
Type of counterparty identifier	
Counterparty identifier	
Legal Entity Identifier (LEI)	
National identifier (from ECB's list of national identifiers)	
1st identifier (optional, if available - from the list published by Bundesbank) ⁵	
...	
n-th identifier (optional, if available - from the list published by Bundesbank) ⁵	
Type of head office undertaking identifier	
Head office undertaking identifier	
Type of immediate parent undertaking identifier	
Immediate parent undertaking identifier	
Type of ultimate parent undertaking identifier	
Ultimate parent undertaking identifier	
Name	
Address: street	
Address: city/town/village	
Address: county/administrative division	
Address: postal code	
Address: country	
Legal form	
Institutional sector	
Economic activity	
Status of legal proceedings	
Date of initiation of legal proceedings	
Enterprise size	
Date of enterprise size	
Number of employees	
Balance sheet total	
Annual turnover	
Accounting standard	

4. Reporting obligations for the reference data of the corporate structure

If the head office undertaking identifier, the immediate parent undertaking identifier and the ultimate parent undertaking identifier are to be reported for a debtor (see above), then the following data records are to be submitted.

As at end	
Reporting agent identifier	

	Attribute does not require reporting
	Attribute requires reporting
	Attribute does not require reporting by small reporting agents (as defined above)

	Reporting obligations relating to counterparty reference data for counterparties resident in a reporting member state. ¹			Reporting obligations relating to counterparty reference data for counterparties not resident in a reporting member state. ²		
	Head offices of undertakings (prerequisite: that it is a foreign branch)	Immediate parent undertakings	Ultimate parent undertakings	Head offices of undertakings	Immediate parent undertakings	Ultimate parent undertakings
Type of counterparty identifier						
Counterparty identifier						
Legal Entity Identifier (LEI)						
National identifier (from ECB's list of national identifiers)						
1st identifier (optional, if available - from the list published by Bundesbank) ³						
...						
n-th identifier (optional, if available - from the list published by Bundesbank) ³						
Type of head office undertaking identifier						
Head office undertaking identifier						
Type of immediate parent undertaking identifier						
Immediate parent undertaking identifier						
Type of ultimate parent undertaking identifier						
Ultimate parent undertaking identifier						
Name						
Address: street						
Address: city/town/village						
Address: county/administrative division						
Address: postal code						
Address: country						
Legal form						
Institutional sector						
Economic activity						
Status of legal proceedings						
Date of initiation of legal proceedings						
Enterprise size						
Date of enterprise size						
Number of employees						
Balance sheet total						
Annual turnover						
Accounting standard						

5. Reporting obligations for the reference data of the Creditor, Originator and Servicer

As at end	
Reporting agent identifier	

	Attribute does not require reporting
	Attribute requires reporting
	Attribute does not require reporting by small reporting agents (as defined above)

	Reporting obligations relating to counterparty reference data for counterparties resident in a reporting member state. ¹			Reporting obligations relating to counterparty reference data for counterparties not resident in a reporting member state. ²		
	<i>Creditor</i>	<i>Originator</i>	<i>Servicer</i>	<i>Creditor</i>	<i>Originator</i>	<i>Servicer</i>
Type of counterparty identifier						
Counterparty identifier						
Legal Entity Identifier (LEI)						
National identifier (from ECB's list of national identifiers)						
1st identifier (optional, if available - from the list published by Bundesbank) ⁵						
...						
n-th identifier (optional, if available - from the list published by Bundesbank) ⁵						
Type of head office undertaking identifier						
Head office undertaking identifier						
Type of immediate parent undertaking identifier						
Immediate parent undertaking identifier						
Type of ultimate parent undertaking identifier						
Ultimate parent undertaking identifier						
Name						
Address: street						
Address: city/town/village						
Address: county/administrative division						
Address: postal code						
Address: country						
Legal form						
Institutional sector						
Economic activity						
Status of legal proceedings						
Date of initiation of legal proceedings						
Enterprise size						
Date of enterprise size						
Number of employees						
Balance sheet total						
Annual turnover						
Accounting standard						