

Annex 5

Reporting format MKNE  
(unofficial document)

**Report on the affiliation of a borrower with multiple single borrower units for reporting loans of €1 million or more pursuant to section 14 of the German Banking Act**

To the Deutsche Bundesbank Regional Office	Reporting period
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Institution / Superordinated undertaking – name	– ID	
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Institution / Subordinated undertaking – name	– ID	
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<b>to be completed by the Bundesbank</b>
Single borrower unit – ID

Borrower – Name / firm (as per register entry)	– ID (if known)	Borrower – ID

Postal code <sup>1</sup>	Residence / registered office <sup>2</sup>	Country <sup>3</sup>	ISO-Code (Country) <sup>4</sup>	Economic activity code <sup>5</sup>
Tax number <sup>6</sup>	Register entry – type and number <sup>7</sup>	Register entry – location <sup>7</sup>	Federal State <sup>8</sup>	Date of birth <sup>9</sup>
Profession <sup>9</sup>	ISIN <sup>10</sup>	LEI <sup>11</sup>		Serial number <sup>12</sup>

Affiliation with the following single borrower units

Single borrower unit – Name / firm	– ID (if known)	Single borrower unit – ID

Postal code <sup>1</sup>	Residence / registered office <sup>2</sup>	Country <sup>3</sup>	ISO-Code (country) <sup>4</sup>	Federal State <sup>7</sup>
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Reason for affiliation – code <sup>13</sup>	Reference debtor – name <sup>14</sup>	– ID (if known)	Single borrower unit – ID

Attribution to sec. 14 German Banking Act<sup>15</sup> with percentage rate:

Single borrower unit – Name / firm	– ID (if known)	Single borrower unit – ID

Postal code <sup>1</sup>	Residence / registered office <sup>2</sup>	Country <sup>3</sup>	ISO-Code (country) <sup>4</sup>	Federal State <sup>7</sup>
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Reason for affiliation – code <sup>13</sup>	Reference debtor – name <sup>14</sup>	– ID (if known)	Single borrower unit – ID

Attribution to sec. 14 German Banking Act<sup>15</sup> with percentage rate:

- <sup>1</sup> The postal code is required only for domestic borrowers.
- <sup>2</sup> The location legally registered as the main place of business or residence shall be reported.
- <sup>3</sup> The country is required only for foreign borrowers.
- <sup>4</sup> The ISO-Code is required only for foreign borrowers. The two-letter code (ALPHA-2) in accordance with ISO 3166-1 issued by the International Organization for Standardization (ISO) shall be used.
- <sup>5</sup> The economic activity according to the Deutsche Bundesbank's "Banking statistics customer classification" publication shall be used.
- <sup>6</sup> This field can be filled for foreign borrowers without company register number.
- <sup>7</sup> The company register entry is required for domestic borrowers and borrowers from specific other countries.
- <sup>8</sup> The federal state is required for borrowers resident in the United States of America.
- <sup>9</sup> The date of birth and profession are required only for natural persons.
- <sup>10</sup> The ISIN is required for the reporting of investment funds. This also applies for constructs, which only have one ISIN.
- <sup>11</sup> If there is an unitary identification number "Legal Entity Identifier" (LEI), it shall be reported.
- <sup>12</sup> The serial number should correspond to the number used in the EA/STA format.
- <sup>13</sup> The reason given for the affiliation to the borrower unit indicates the actual classification pursuant to section 19 (2) of the German Banking Act. The relevant table of codes may be found in the implementing technical instructions for loans of €1 million or more pursuant to section 14 of the German Banking Act.
- <sup>14</sup> The reference debtor is the borrower at the next level of the single borrower unit's hierarchy.
- <sup>15</sup> The classification of the borrower's debt shall be indicated according to the reporting obligation of the respective single borrower unit. In addition, where a registered partnership with limited personal liability of the partners is being reported, the relevant rate shall be given as a percentage.

Please refer to the implementing technical instructions for loans of €1 million or more pursuant to section 14 of the German Banking Act for further information, which are released under <https://www.bundesbank.de/de/service/meldewesen/bankenaufsicht-formular-center/meldungen> (only available in German as "Meldetechnische Durchführungsbestimmung für die Abgabe der Großkreditanzeigen nach Art. 394 CRR (Stammdaten- und Einreichungsverfahren) und der Millionenkreditanzeigen nach § 14 KWG (Gesamtverfahren)").